



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John M. Siegel, Jr. §  
Fyodor N. Golos §  
Application No.: 09/843,252 § Group/Art Unit: 2163  
Filed: April 26, 2001 § Examiner:  
For: *Ranking Based Screening System and* §  
*Method for Equity Analysis* §

## Box Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NON-PROVISIONAL PATENT APPLICATION

- I. This replies to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) and mailed June 18, 2001.

A copy of the Notice to File Missing Parts of Application -- Filing Date Granted is enclosed.

## DECLARATION OR OATH

- II. No Declaration or Oath was filed. Enclosed is the original Declaration or Oath for this Application.

## SMALL ENTITY STATUS

- III. Applicant claims small entity status. See 37 C.F.R. § 1.27.

## COMPLETION FEES

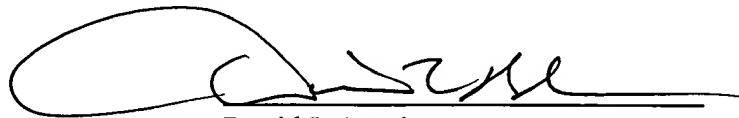
- |     |    |   |          |
|-----|----|---|----------|
| IV. | 1. | Filing Fee<br>Original Patent Application (37 C.F.R. § 1.16(a)) | \$355.00 |
|     | 2. | Fee for Claims<br>Each claim in excess of 20                    | \$ 18.00 |
|     |    | Each independent claim in excess of 3 (37 C.F.R. § 1.16(b))     | \$ 40.00 |

3.	<b>Surcharge Fees</b>	
	Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. § 1.16(e))	<u>\$ 65.00</u>
	<b>Total Completion Fees</b>	<b>\$478.00</b>

#### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

- V. The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 and 1.17 to Deposit Account Number 50-0686, in the name of Lanier Ford Shaver & Payne P.C.

Respectfully submitted,



David L. Berdan  
Registration No. 41,614

Lanier Ford Shaver & Payne P.C.  
Customer No. 021491  
P.O. Box 2087  
200 West Side Square, Suite 5000  
Huntsville, Alabama 35804  
Phone: 256-535-1100



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if known

<b>FEE TRANSMITTAL for FY 2001</b>  <i>Patent fees are subject to annual revision</i>		Application Number	09/843,252
		Filing Date	4/26/01
		First Named Inventor	John M. Siegel, Jr., et al.
		Examiner Name	
		Group Art Unit	2163
TOTAL AMOUNT OF PAYMENT	(\$478.00)	Attorney Docket No.	99-1054.02/US

METHOD OF PAYMENT																																																																													
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:          Deposit Account Number <b>50-0686</b></p> <p>Deposit Account Name <b>Lanier Ford Shaver &amp; Payne PC</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>																																																																													
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David L. Berdan	Registration No. (Attorney/Agent)	41,614
Signature		Date	July 13, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



**Practitioner's Docket No. 99-1054.02/US**

Patent

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: John M. Siegel, Jr. §  
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For: ***Ranking Based Screening System and*** §  
***Method for Equity Analysis*** §

**BOX MISSING PARTS**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

[X] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: June 13, 2001

**FACSIMILE**

[ ] transmitted by facsimile to the Patent and  
Trademark Office.

**Signature**

David L. Berdan

(type or print name of person certifying)

**Applicant, by and through his attorney, hereby submits the following documents:**

1. Fee Transmittal (w/1 copy);
  2. Completion of Filing Requirements -- Non-Provisional Patent Application  
(with a copy of the Notice to File Missing Parts mailed June 18, 2001);
  3. Declaration and Oath;
  4. Statement Under 37 C.F.R. 3.73(b);
  5. Copy of Assignment;

6. Power of Attorney;
8. Check in the amount of \$478.00; and
9. Return Receipt Postcard.

Respectfully submitted,  
David L. Berdan  
Registration No. 41,614



Signature of person mailing paper



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,252	04/26/2001	John M. Siegel JR.	99-1054.02

## CONFIRMATION NO. 7354

21491  
LANIER FORD SHAVER & PAYNE  
P O BOX 2087  
HUNTSVILLE, AL 35804

## FORMALITIES LETTER



\*OC00000006196395\*

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2001 BSAYASII 00000014 09843252

## FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:202	40.00 OP
03 FC:203	18.00 OP
04 FC:205	65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$58.
  - \$18 for 2 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 478.**

10/25/2001 MTEKLEM1 00000001 500686 09843252

01 FC:203 108.00 CH

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE